1. (1pt) Name: ____

INSTRUCTIONS: Carefully read each question, and write the answer in the space provided. If answers to free response questions are written obscurely, zero credit will be awarded. The correct answer to a free response question with a short answer (i.e., one word or phrase) will never contain any significant words used in the question itself (i.e., "crossword rules"). You are permitted to use one 8.5x11 inch sheet of paper (double-sided) containing **hand-written** notes; all other aids (other than your brain) are forbidden. Questions may be brought to the instructor.

You have 80 minutes to complete the exam.

For **TRUE** or **FALSE** and multiple choice questions, circle your answer.

On free response questions only, you will receive **20%** credit for any question which you leave blank (i.e., do not attempt to answer). Do not waste your time or mine by making up an answer if you do not know. (Note though that most questions offer partial credit, so if you know part of the answer, it is almost always better to write something rather than nothing.)

To get credit for this question, you must:

- Print your name (e.g., "Martin Kellogg") in the space provided on this page.
- Print your UCID (e.g., "mjk76") in the space at the top of each page of the exam.

| | Writing your name and UCID: | / 1 |
|-------------------------------------|--------------------------------|----------|
| Contents (blanks for graders only): | I. Very Short Answer: | / 20 |
| | II. Matching: | / 20 |
| | III. Short Answer: | / 26 |
| | IV. "Your Choice" Reading Quiz | / 3 |
| | V. DBQs: | / 30 |
| | VI. Extra Credit: | / 0 |
| | | |
| | | |

Total:

/ 100

Clarifications posted on the screen during the exam:

- you may rip any pages out of the exam
- don't forget to put your ucid on every page with questions!. ucid = your email address, e.g. mjk76. It is not a number starting with 31...
- re:question 22: "subsume" means "contain". With respect to coverage, X coverage is said to subsume Y coverage iff 100% X coverages implies 100% Y coverage.
- you may turn in your exam as soon as you are finished
- "support or refute" means both say whether you agree with the claim or not, and explain why (note, you must choose one or the other, not both)
- in part II (matching), the key will not use any of the options more than once. You are permitted to use an option more than once if you'd like, though (but you'll definitely not get full credit...)
- in question 13, "sampled data" = "sampled inputs and outputs"
- technical interviews like the one in question 28 typically take between 45 minutes and an hour
- as you turn in your exam: you must turn in your cheat sheet. Make sure your name or UCID is on it. But your exam + cheatsheet in the bag. Take a piece of candy from the other bag. Enjoy halloweekend! (If you don't have a cheatsheet, write "no notes" on the cover sheet of your exam i.e., where you wrote your name)

I. Multiple Choice and Very Short Answer (20pts). In the following section, either circle your answer (possible answers appear in **bold**) or write a very short (one word or one phrase) answer in the space provided. No partial credit is possible in this section.

- 2. (2pt) Which of the following are examples of static analyses (select all that apply)?
 - A linters
 - **B** build systems
 - **C** type systems
 - **D** code review
- 3. (2pt) **TRUE** / **FALSE**: it is a good idea to assume that in any system with a large number of users, someone relies on every behavior of the system (intended or not) as if it were a feature.
- 4. (2pt) _____ make interpreting an absolute mutation score (e.g., "80% of mutants killed") difficult.
- 5. (2pt) When a continuous integration incorrectly blocks a change (i.e., nothing in the changeset causes the CI failure), one of the most common causes is ______ tests, which fail nondeterministically.
- 6. (2pt) Modern version control systems like git use a syntactic / semantic analysis to decide whether two changes conflict.
- 7. (2pt) Which of the following are examples of quality requirements (select all that apply)?
 - A low cost to develop
 - **B** high availability
 - \mathbf{C} easy to use
 - **D** no bugs
- 8. (2pt) Names for methods that return a value should be verbs / nouns.
- 9. (2pt) Because human attention spans are short, it's important to make sure that the edit-test-debug cycle for a project takes less than about ten _____.
 - A milliseconds
 - ${f B}$ seconds
 - \mathbf{C} minutes
 - **D** hours
- 10. (2pt) An advantage of which of the following is that applying it correctly guarantees that all behaviors have a regression test immediately (select all that apply)?
 - **A** test-driven development
 - **B** partition testing
 - \mathbf{C} A/B testing
- 11. (2pt) **TRUE** / **FALSE**: When a code reviewer makes a suggestion that you disagree with, the appropriate response as a code author is to explain to the reviewer in the code review tool why they're wrong.

| A. Implicit oracle | B. Mocking | C. Over-engineering | D. Triage |
|--------------------------------|---------------------------|-----------------------------------|----------------------------|
| E. Formal specification | F. Feature request | G. Self-documenting code | H. User story |
| I. Differential testing | J. Opinionated | K. Dynamic type system | L. Greppability |
| M. Dataflow analysis | N. Hermeticity | O. Embarrassingly parallel | P. Pair programming |

II. Matching (20pts). This section contains a collection of terms discussed in class in an "Answer Bank" (choices A. through P.). Each question in this section describes a situation associated with an answer in the Answer Bank. Write the letter of the term in the Answer Bank that best describes each situation. Each answer in the Answer Bank will be used at most once.

- 12. (2pt) ______ Alice is prototyping a new project, and values ease of implementation over speed or safety in her choice of language.
- 13. (2pt) _____ Thomas wants to do an integration test between his code and a system which is expensive to call, so he tests against sampled data from that system.
- 14. (2pt) ______ Annie is writing code for a safety-critical system, so she writes a model of her system's behavior to detect design issues.
- 15. (2pt) ______ Bud and Lou are optimizing for code quality instead of programming speed.
- 16. (2pt) _____ Frank realizes that he can rewrite his program to independently solve many sub-problems on different CPU cores, because the sub-problems don't depend on each other.
- 17. (2pt) _____ Clara wants to reduce inter-team arguments about style, so she chooses an automated formatter with few configuration options.
- 18. (2pt) _____ Bruce puts extra effort into writing good variable names, because someone reading the code might not have the documentation handy.
- 19. (2pt) ______ Meryl uses a tool to detect potential null-pointer exceptions in her Java code.
- 20. (2pt) ______ Buzz prioritizes the bugs he needs to fix by severity.
- 21. (2pt) ______ Albert uses a fuzzer to detect crashes.

III. Short answer (26pts). Answer the questions in this section in at most three sentences.

- 22. Condition coverage is defined as the fraction of the possible values of boolean sub-expressions induced by a test suite ("fraction of possible values" here means that for each boolean sub-expression, **true** and **false** are counted separately). You may assume that we're interested in a typical imperative or object-oriented programming language, like C or Java (if you know why we might want to assume this, see extra credit question 30).
 - (a) (2pt) **TRUE** / **FALSE**: Condition coverage subsumes branch coverage.
 - (b) (3pt) Justify your answer to part (a) with either an informal mathematical argument (if you answered TRUE) or a counter-example (if you answered FALSE). If you answered part (a) incorrectly, you cannot get credit for this question.

- (c) (2pt) **TRUE** / **FALSE**: Branch coverage subsumes condition coverage.
- (d) (3pt) Justify your answer to part (c) with either an informal mathematical argument (if you answered **TRUE**) or a counter-example (if you answered **FALSE**). If you answered part (c) incorrectly, you cannot get credit for this question.

23. (4pt) Support or refute the following claim: on a software engineering team, it's reasonable for the roles of "engineering manager" and "project manager" to be filled by the same person.

24. (4pt) Support or refute the following claim: when you need to fix a bug in an unfamiliar system, the first thing that you should do is read all of that system's source code to find the bug.

25. (4pt) Support or refute the following claim: referential transparency is an advantage of programming languages with a strong, static type system such as Rust.

26. (4pt) Consider the following proposed software engineering task from a planning document (for example, a document like your group's project plan):

Sprint 1, Task 3: Implementing class/functionality (1st half)Task size: LargeDepending on how complex the features of the game are to implement, we are not sure as to how long it would take to finish all of the functions with several people.Assignee: Everyone

Support or refute the following claim: this task is appropriately detailed for a planning document.

- A. No Silver Bullet
- **B.** Syntax, Predicates, Idioms—What Really Affects Code Complexity?
- C. Relating Reading, Visualization, and Coding for New Programmers: A Neuroimaging Study
- **D.** An Experimental Evaluation of Continuous Testing During Development
- E. Taming Google-Scale Continuous Testing
- F. The Oracle Problem in Software Testing: A Survey
- G. The Daikon System for Dynamic Detection of Likely Invariants
- **H.** Introduction to TLA
- I. Purposes, Concepts, Misfits, and a Redesign of git
- J. Variability and Reproducibility in Soft. Eng.: A Study of 4 Companies that Developed the Same System
- K. Hiring is Broken: What Do Developers Say About Technical Interviews?
- L. Expectations, Outcomes, and Challenges Of Modern Code Review
- M. Hints on Programming Language Design
- **N.** Build Systems à la Carte
- **O.** Notes on Program Analysis
- P. Designing the WhyLine: A Debugging Interface for Asking Questions about Program Behavior
- \mathbf{Q} . Locating Causes of Program Failures

IV. "Your Choice" Reading Quiz

27. (3pt) The Answer Bank on this page lists the "Your Choice" readings so far in this semester.

- (a) Write the letter of the "Your Choice" reading for which you're answering this question: _____.
- (b) Write a reading quiz question for this reading, of similar difficulty and style as the reading quiz questions in class. You may write a question in any of the following styles: a TRUE/FALSE question, a multiple choice question with 3 or 4 answers, or a fill-in-the-blank question. There is an extra credit point for the best question suggested by anyone in the class.

(c) What is the answer to your reading quiz question?

V. Document-based Questions (30pts). All questions in this section refer to a documents A-C. These documents appear at the end of the exam (I recommend that you tear them out and refer to them as you answer the questions).

Questions on this page refer to **Documents A**, **B**, and **C**.

Suppose that you are an engineering manager at Amazing Stuff for Websites ("ASW"), a provider of cloud computing services. Your small team is responsible for maintaining and operating Some Specific Service ("S3"). You are hiring a new junior engineer to join your team; all candidates for this positions are "new-grads"—recent graudates from university computer science programs, with little or no professional engineering experience. One of your team's senior engineers has interviewed a promising candidate, and you need to decide whether to make an offer. The interview was conducted remotely, using a shared code editor (i.e., something like Google Docs but for code). The candidate chose to write code in Java.

Document A contains the interview script that the engineer used for this candidate. **Document B** contains the interviewing engineer's contemporaneous notes (i.e., the notes that they took during the interview); this document has been edited to include the candidate's code at appropriate points. **Document C** is the candidate's final code.

Note that in a real hiring situation like the one that this question presents, you'd have feedback from several senior engineers who conducted separate interviews, and you wouldn't want to make a decision based on just one engineer's notes. Moreover, the engineers would also give you their recommendations for whether to hire this candidate. Because this is an exam, we're focusing on just a single engineer's interview notes and making you make the decision without an explicit recommendation from the interviewing engineer.

28. (30pt) Make and justify a hiring decision (either "hire" or "don't hire") based on a coherent hiring philosophy. Your justification must cite at least three distinct pieces of evidence from the interview notes that support your decision. Your justification must also cite at least one piece of evidence that does *not* support your decision, along with an explanation of why you think this evidence is not important enough to change your decision. Phrase your answer as an email to the hiring committee by filling in the template on the next page.

T0: s3-hiring-committee@asw.com FROM: you@asw.com SUBJECT: re: Hiring decision **for** candidate [REDACTED]

Dear hiring committee,

Sincerely,

You

VI. Extra Credit. Questions in this section do not count towards the denominator of the exam score.

29. (1pt) In section II (Matching), there is a theme to the names used in the situation descriptions. What is the theme?

- 30. Question 22 specifies that the language of interest is a typical imperative or object-oriented programming language, like C or Java. There is a language feature that these languages have that is relevant to the answers for question 22.
 - (a) (1pt) What is the language feature that these languages have that is relevant to the question?
 - (b) (1pt) How would the answers to question 22 change if this language feature were not present?

- 31. (2pt) Write a reading quiz question for another "Your Choice" reading, with the same requirements as question 27.
 - (a) Write the letter of the "Your Choice" reading for which you're answering this question:
 - (b) Write your question in this space:

(c) What is the answer to your reading quiz question?

This page intentionally left blank (you may use it as scratch paper, and the proctor will have more scratch paper at the front if you need more).

Document A:

Phrase the question like this:

Let's say we have a website and we keep track of what pages customers are viewing, for things like business metrics.

Every time somebody comes to the website, we write a record to a log file consisting of Timestamp, PageId, CustomerId. At the end of the day we have a big log file with many entries in that format. And for every day we have a new file.

Now, given two log files (log file from day 1 and log file from day 2) we want to generate a list of 'loyal customers' that meet the criteria of: (a) they came on both days, and (b) they visited at least two unique pages.

Give the candidate the following starter code (if they want to code in Java):

There is one important ambiguity in the problem description: is it two unique pages *per day* or *overall*. The answer is "2 unique pages *overall*", because that's a much more interesting problem. About half of candidates jump straight into coding without clarifying this, and out of those, about half will assume incorrectly that you meant "2 unique pages per day." If it's a more junior candidate, you should hint heavily before they start coding. If it's a more senior candidate, you should wait a bit and see if this comes up as they're thinking more deeply about the algorithm.

Document B:

After I introduced the problem, the candidate asked a few clarifying questions (good), but it seemed like they'd been coached—the questions were pretty generic. The best of them was "Do the log files fit in memory?" (yes); they also asked about constraints like a required run time and how much memory they're allowed to use. No good problem-specific questions, though. I hinted heavily about the per-day vs overall ambiguity, but the candidate wanted to start coding right away.

The candidate got to the code below before it was clear they'd misunderstood the question, and I corrected them about the "per-day" vs "overall" thing:

```
1
   public Collection<CustomerId> getLoyalCustomers(Iterator<PageView> file1,
2
                                                      Iterator<PageView> file2) {
З
       Collection<CustomerId> loyalCustomersToReturn = new HashSet<>();
4
       while (file1.hasNext()) {
         PageView p1 = file1.next();
5
         CustomerId c1 = p1.getCustomerId();
6
7
       }
8
       . . .
9
   }
```

They said aloud that they were then going to make a per-day table of how many unique pages a customer had seen using this structure, which is when I corrected them. At this point the candidate seemed flustered, and needed to sit silently for a minute or two before they started coding again. Even once they got started again, they seemed nervous for the next several minutes. They talked through their high-level plan as they typed it out, explaining to me that they were going to "brute force it" to "get a bad answer that works" first. Here's the code they came up with:

```
public Collection<CustomerId> getLoyalCustomers(Iterator<PageView> file1,
1
                                                      Iterator<PageView> file2) {
2
        Collection<CustomerId> loyalCustomersToReturn = new HashSet<>();
3
        while (file1.hasNext()) {
4
          PageView p1 = file1.next();
5
6
          CustomerId c1 = p1.getCustomerId();
7
          List<PageId> pages = new ArrayList<>();
          pages.add(p1.getPageId());
8
9
10
          // TODO: do I need to make a copy of this first?
11
          while (file2.hasNext()) {
12
            PageView p2 = file2.next();
13
            CustomerId c2 = p2.getCustomerId();
            if (c1.equals(c2)) {
14
```

```
15
               pages.add(p2.getPageId());
            }
16
17
          }
18
19
          if (pages.size() >= 2) {
             loyalCustomersToReturn.add(c1);
20
          }
21
22
        }
23
24
        return loyalCustomersToReturn;
25
    }
```

The candidate volunteered that this is $O(n^2)$ and that they intended to try to optimize once they were sure it was working. I prompted them about how they were going to make sure it works, and they immediately started talking about testing. They mentioned checking for edge cases like empty log files (I told them we get enough traffic that they don't have to worry about that one), and they considered the possibility of a customer who only visits on one of the days unprompted, and convincingly argued to me that their current solution would handle that case correctly. The candidate also spent a few minutes agonizing over whether they needed to deep-copy the iterator, even though I told them not to worry about that twice—once while they were actually coding it the first time, and again when they brought it up after.

I prompted them about other edge cases and they couldn't think of any, so I mentioned duplicate page views explicitly. The candidate realized the problem with using a list almost immediately after I mentioned that, and changed their pages variable's type to Set.

At this point, the candidate started trying to optimize. They pretty quickly noticed that their initial prototype is doing quite a few redundant operations when searching through the second day's log, and decided they needed some kind of data structure to speed up searching for a particular customer. They decided on a lookup table, with the customer id as the key, pretty quickly and set to implementing that. Here's the code that they got to, after one false start where they forgot that the values in the map need to be sets of PageIds rather than just a single PageId:

```
9
          if (map.containsKey(c2) {
10
            map.get(c2).add(p2.getPageId());
11
          } else {
            map.put(p2.getCustomerId(), p2.getPageId());
12
13
          }
        }
14
15
16
        while (file1.hasNext()) {
          PageView p1 = file1.next();
17
18
          CustomerId c1 = p1.getCustomerId();
19
          Set<PageId> pages = map.get(c1);
20
          pages.add(p1.getPageId());
21
22
          if (pages.size() >= 2) {
23
            loyalCustomersToReturn.add(c1);
24
          }
25
        }
26
27
        return loyalCustomersToReturn;
28
    }
```

I noticed that the types don't line up right away, but the candidate didn't seem to. They started thinking about testing again, and I hinted that they should try working through a simple example with this new code first. Once they did that, they noticed that they'd forgotten to actually initialize the inner sets of the map, and they revised the code in the first **while** loop:

```
while (file2.hasNext()) {
1
2
          PageView p2 = file2.next();
          CustomerId c2 = p2.getCustomerId();
3
          if (map.containsKey(c2) {
4
            map.get(c2).add(p2.getPageId());
5
          } else {
6
7
            HashSet<PageId> set = new HashSet<>();
            set.add(p2.getPageId());
8
9
            map.put(p2.getCustomerId(), set);
          }
10
11
        }
```

I encouraged them to run through the test cases they'd considered before, but they must have forgotten about the case where a customer is present on one day and not the other, because it took a bit of prompting from me for them to get that their implementation will dereference a null pointer if that happens - I had to hint about the specific case. But, they did fix it eventually. We then had a quick conversation about the run time of this solution (they correctly said it's O(n) but didn't mention that it uses more memory) before we had to wrap up for time.

Document C

```
1
    public Collection<CustomerId> getLoyalCustomers(Iterator<PageView> file1,
2
                                                     Iterator<PageView> file2) {
3
        Collection<CustomerId> loyalCustomersToReturn = new HashSet<>();
4
        Map<CustomerId, Set<PageId>> map = new HashMap<>();
5
6
        while (file2.hasNext()) {
          PageView p2 = file2.next();
7
          CustomerId c2 = p2.getCustomerId();
8
9
          if (map.containsKey(c2) {
10
            map.get(c2).add(p2.getPageId());
11
          } else {
12
            HashSet<PageId> set = new HashSet<>();
13
            set.add(p2.getPageId());
            map.put(p2.getCustomerId(), set);
14
15
          }
        }
16
17
18
        while (file1.hasNext()) {
19
          PageView p1 = file1.next();
20
          CustomerId c1 = p1.getCustomerId();
21
          Set<PageId> pages = map.get(c1);
22
          pages.add(p1.getPageId());
23
24
          if (pages.size() >= 2) {
            loyalCustomersToReturn.add(c1);
25
26
          }
        }
27
28
        return loyalCustomersToReturn;
29
30
   }
```