1. (1pt) Name: ____

INSTRUCTIONS: Carefully read each question, and write the answer in the space provided. If answers to free response questions are written obscurely, zero credit will be awarded. The correct answer to a free response question with a short answer (i.e., one word or phrase) will never contain any significant words used in the question itself (i.e., "crossword rules"). You are permitted one 8.5x11 inch sheet of paper (double-sided) containing **hand-written** notes; all other aids (other than your brain) are forbidden. Questions may be brought to the instructor.

For **TRUE** or **FALSE** and multiple choice questions, circle your answer.

On free response questions only, you will receive **20%** credit for any question which you leave blank (i.e., do not attempt to answer). Do not waste your time or mine by making up an answer if you do not know. (Note though that most questions offer partial credit, so if you know part of the answer, it is almost always better to write something rather than nothing.)

To get credit for this question, you must:

- Print your name (e.g., "Martin Kellogg") in the space provided on this page.
- Print your UCID (e.g., "mjk76") in the space at the top of each page of the exam.

	Writing your UCID on every page:	 / 1
	I. Reading Quiz Redux:	 / 3
Contents (blanks for graders only):	II. Very Short Answer:	 / 19
	III. Matching:	 / 20
	IV. Short Answer:	 / 31
	V. "Your Choice" Reading Quiz	 / 2
	VI. DBQs:	 / 24
	VII. Extra Credit:	 / 0
	Total:	 / 100

I. Reading Quiz Redux (3pts)

- 2. (1pt) **Code Review:** today's reading was an engineering practices guide associated with which of the following companies:
 - A Microsoft
 - **B** Amazon
 - **C** Netflix
 - **D** none of the above
- 3. (1pt) Static Analysis, Part 1: How many "infinite recurisve loop" bugs did FindBugs find in Google's codebase?
 - **A** 0
 - **B** 1
 - **C** more than 70
- 4. (1pt) **Testing, Part 3: TRUE** or **FALSE**: A well-written C program will typically contain some defensive conditionals which in practice are always true or always false. This leads to a programming dilemma: does SQLite remove defensive code in order to obtain 100% branch coverage?

II. Multiple Choice and Very Short Answer (19pts). In the following section, either circle your answer (possible answers appear in **bold**) or write a very short (one word or one phrase) answer in the space provided.

- 5. (1pt) A static / dynamic analysis involves running the program being analyzed.
- 6. (2pt) The Agile manifesto includes which of the following principles? Select all that apply.
 - A process and tools over individuals and interactions
 - **B** working software over comprehensive documentation
 - ${f C}$ contract negotiation over customer collaboration
 - **D** responding to change over following a plan
- 7. (1pt) **TRUE** or **FALSE**: you should follow the established conventions of a codebase when modifying that codebase, even if those conventions violate the usual principles of code-level design.
- 8. (2pt) When choosing test inputs by hand, it is good practice to include ______ like 0, null, or empty lists or files.
- 9. (2pt) Which of the following are best practices related to continuous integration (CI)? Circle all that apply.
 - A developers don't need to run tests locally at all, since all tests will run in CI
 - ${f B}$ the CI build should not be permitted to fail for long periods of time
 - **C** developers should run the full CI build on their machine before committing
 - **D** all changes to the project should be gated by CI

- 10. (2pt) Which of the following are build systems useful for automating? Circle all that apply.
 - **A** installing dependencies
 - **B** compiling the code
 - **C** creating artifacts for customers
 - **D** running tests
- 11. (2pt) Debugging ______ tests is unusually difficult, because they sometimes fail and sometimes pass in a seemingly-non-deterministic manner.
- 12. (1pt) **TRUE** or **FALSE**: "1/3 finished with implementation" is a reasonable milestone to include in a planning document like your project proposals.
- 13. (2pt) When a version control system like git determines that two changes are conflict-free, which of the following are possible for the merged code? Circle all that apply.
 - **A** compilation errors
 - **B** multiple changes to the same line
 - **C** test failures
- 14. (2pt) A common pathology for poor-performing software engineering teams is a non-______ build process: for example, because there are dependencies in the build on projects that are checked out

locally on the computer of each member of the development team.

- 15. (2pt) Which of the following program analyses are sound? Circle all that apply.
 - A code review
 - **B** a static analysis that reports a bug on every line
 - C static type systems, like those in Java or Rust
 - ${\bf D}$ a static analysis that never reports a bug

Table 1: Answer Bank:

A. Mutation Analysis	B. Functional Specification	C. Implicit Oracle
D. Halting Problem	E. Feature Branch Development	F. Project Manager (PM)
G. Linting	H. Dataflow Analysis	I. Test-driven Development
J. Centralized Version Control	K. Functional Programming	L. Standup
M. Sprint	N. Control-flow Graph	O. Explicit Oracle
P. Behavioral Interview	Q. Magic Number	R. Hermeticity
S. Distributed Version Control	T. Pair Programming	U. Modern Code Review

III. Matching (20pts). This section contains a collection of terms discussed in class in an "Answer Bank" (Table 1). Each question in this section describes a situation associated with an answer in the Answer Bank. Write the letter of the term in the Answer Bank that best describes each situation. Each answer in the Answer Bank will be used at most once.

- 16. (2pt) ______ Ada is assigned a user story. She creates a new branch, implements the necessary code, and then creates a pull request against the project's main branch.
- 17. (2pt) ______ When Alan wants to integrate changes from his co-worker, he first needs to commit his own changes.
- 18. (2pt) _____ Grace is running a test-generation tool. It reports that there is a bug in her code, because it was able to cause the code to crash.
- 19. (2pt) _____ Donald is asked about a time that he had a disagreement with a co-worker.
- 20. (2pt) _____ Leslie spends 15 minutes each morning with his immediate team so that they can update each other on their progress.
- 21. (2pt) _____ Before Barbara commits a new method, a tool warns her about a non-idiomatic code pattern in her implementation.
- 22. (2pt) _____ Tim is assigned a user story. His first step is to a write a test that currently fails.
- 23. (2pt) ______ Alonzo's manager sends him a document that explains what he should implement, but not how to implement it.
- 24. (2pt) ______ Haskell's job mostly consists of talking: to engineers, to customers, to upper management, and to other stakeholders.
- 25. (2pt) _____ Radhia is writing some code. As she does, Patrick sits with her and offers comments and/or critiques.

IV. Short answer (31pts). Answer the questions in this section in at most two sentences.

26. (3pt) Support or refute the following claim: estimating the time to build a software system is inherently harder than estimating the time to build a "physical" system, such as a highway.

27. Select 2 programming languages from the following list (circle your selections):
Java / C / TypeScript / Python
For each of the following axes, write 1-2 sentences comparing your two chosen languages along that axis. Each of your comparisons should reference at least one language feature of each language you are comparing.

- (a) (2pt) Performance:
- (b) (2pt) Safety:
- (c) (2pt) Developer Effort:
- 28. Consider the following pairs of tools, techniques, or processes. For each pair, give a class of defects or a situation for which the first element performs better than the second (i.e., is more likely to succeed and reduce software engineering effort and/or improve software engineering outcomes) and explain both why the better choice performs better and why the worse choice performs worse.
 - (a) (3pt) Regression testing better than fuzzing
 - (b) (3pt) Pair programming better than static analysis
 - (c) (3pt) Garbage collection better than static typing

- 29. Paul is a software engineer at Micro-softserve, a technology company that writes software for soft-serve ice cream machines. Paul's boss, Bill, reports that a large client of Micro-softserve (a fast food chain with a clown as a mascot) has been complaining that their ice cream machines are frequently not working, and Bill would like to argue to *his* boss that the software division is not at fault: Bill's team tests their code thoroughly! Paul is tasked with providing evidence that Bill can use to support this claim: that is, evidence that the software team's code is high-quality.
 - (a) (2pt) Identify two specific quantitative metrics that Paul could report to Bill.
 - (b) (2pt) Write one sentence explaining an advantage of the first metric over the second.
 - (c) (2pt) Write one sentence explaining an advantage of the second metric over the first.
- 30. Arjun is a software engineer at LockMart, a company that provides high-assurance locks to the American government. Arjun is starting a new project in which he will write the software for a new electronic lock that will be used to improve security at nuclear reactors and other government locations where access control is highly-restricted.
 - (a) (2pt) Name a quality requirement that is relatively *important* for Arjun's project, and then provide a one-sentence justification for why that quality requirement is important.
 - (b) (2pt) Name a different quality requirement that is relatively *unimportant* for Arjun's project—that is, a requirement on which compromise might be acceptable. Give a one-sentence justification for why it is okay to compromise on this quality requirement.
 - (c) (3pt) Identify a specific technical decision or specific technique (the decision or technique should have been discussed in class) that Arjun can make or use in his new project that will help him trade-off between the two quality requirements you chose in parts a) and b). Justify why this specific technical decision or technique trades off these quality requirements appropriately.

V. Document-based Questions (24pts). All questions in this section refer to a documents A-C. These documents appear at the end of the exam (I recommend that you tear them out and refer to them as you answer the questions).

Questions on this page refer to document A (3 pages).

- 31. (1pt) What kind of document is document **A**?
- 32. (6pt) Name two software engineering practices that the engineers in this document have done well. For each, cite a specific piece of evidence from the document to support your claim.

33. (3pt) Which comment from an engineer is the least important? Give a one-sentence justification for your answer.

34. (3pt) Support or refute the following claim: the code in this document deserves extra scrutiny from a human beyond what would normally be required for a code change.

Questions on this page refer to Documents **B** and **C** (1 page). Document **B** is a warning from a static analysis tool. Document **C** is the code relevant to the warning.

35. (4pt) Support or refute the following claim: the static analysis warning in Document B is a false positive.

36. (4pt) Rewrite the code in Document **B** so that a typical dataflow-based nullability analysis that checks for potential nullability problems will not issue a warning. (No partial credit: we will run FindBugs to determine if your answer elicits the false positive if we are unsure.)



37. (3pt) Draw the control-flow graph for the code in Document C.

VI. "Your Choice" Reading Quiz (2 points).

Each question in this section is a reading quiz question for one of the "Your Choice" readings. Select **one** of the questions and fill in the question below (question number 38) with the letter of the question you are answering (and its answer, of course!). **DO NOT CIRCLE ANSWERS TO SUB-QUESTIONS IN THIS SECTION.** You may answer additional questions in the extra credit section (Section VII), if you have done more than one "Your Choice" reading.

- - (a) Ajami et al.'s *Syntax, predicates, idioms what really affects code complexity?* Which of the following is one of the main findings in the article:
 - A while loops are more complex than for loops
 - ${\bf B}$ if statements are more complex than for loops
 - $C \qquad \text{for loops are more complex than if statements} \\$
 - (b) Saff and Ernst's An Experimental Evaluation of Continuous Testing During Development: The experiment described in the study was carried out using a modification or plugin to which editor:
 - A Emacs
 - **B** Eclipse
 - C Vim
 - (c) Memon et al.'s *Taming Google-Scale Continuous Testing*: Of the 5.5 million tests that the authors considered, about how many had failed at least once?
 - **A** 63,000
 - **B** 630,000
 - **C** 6,300,000
 - (d) Barr et al.'s *The Oracle Problem in Software Testing: A Survey*: Which of the following are categories of test oracle research identified by the paper? Circle all that apply.
 - A derived oracles
 - **B** explicit oracles
 - **C** ambiguous oracles
 - **D** specified oracles
 - (e) De Rosso et al.'s *Purposes, concepts, misfits, and a redesign of git*: **TRUE** or **FALSE**: as part of their evaluation, the authors performed a manual analysis of Reddit posts related to git.
 - (f) Ernst et al.'s The Daikon system for dynamic detection of likely invariants: the second section of the paper contains a long example that implements a data structure in Java. Which data structure is it?
 - A queue
 - ${f B}$ stack
 - \mathbf{C} list

- (g) Lamport's *Introduction to TLA*: Finish this quote from the reading: "A TLA formula is true or false on a ______."
 - A system
 - **B** behavior
 - \mathbf{C} logic
- (h) Anda et al.'s Variability and Reproducibility in Software Engineering: A Study of Four Companies that Developed the Same System: of the four companies considered in the study, three of the four were from the same country. Which country was it?
 - A Norway
 - **B** United States
 - \mathbf{C} India
- (i) Behroozi et al.'s *Hiring is Broken: What Do Developers Say About Technical Interviews?*: the study considered comments from a website. Which website was it?
 - A Reddit
 - **B** Stack Overflow
 - C Hacker News
- (j) Bacchelli and Bird's Expectations, Outcomes, and Challenges Of Modern Code Review: TRUE or FALSE: based on the results of the study, reviews commonly detect deep, subtle, or "macro" level issues.
- (k) Hoare's *Hints on Programming Language Design*: The author believe that which of the following criteria are appropriate to consider in the choice of programming language? (Select all that apply.)
 - A portability and machine independence
 - **B** the language's support for designing, documenting, and debugging programs
 - ${f C}$ sponsorship by a rich and powerful organization
 - **D** a large and useful standard library and user community
- (1) Mokhov et al.'s Build Systems a la Carte: TRUE or FALSE: the authors claim that there are "two key design choices that are typically deeply wired into any build system" and that those design decisions are deeply coupled: the build system's choice in one seriously restricts its choices in the other.
- (m) Bessey et al.'s A Few Billion Lines of Code Later: Using Static Analysis to Find Bugs in the Real World: TRUE or FALSE: the authors claim that a not-understood bug report is commonly labeled a false positive, rather than spurring the programmer to delve deeper.
- (n) Ernst's *Notes on Program Analysis*: Which of the following is a component of the author's definition of an abstract interpretation (select all that apply):
 - A program dependence graph
 - **B** lattice
 - **C** typestate automaton

VII. Extra Credit. Questions in this section do not count towards the denominator of the exam score.

- 39. (1pt) In section III (Matching), there is a theme to the names used in the situation descriptions. What is the theme?
- 40. (1pt) State something that you learned in this class so far that you didn't know before and that was a surprise to you.

41. (1pt) State something that we discussed in this class that you already knew or understood from a previous class or internship. Also, state where you learned this thing.

For the remaining extra credit points, answer additional questions from Section VI ("Your Choice" Reading Quiz). You may answer up to five additional times. However, if you get *any* of these questions wrong (including the question in Section VI), you will receive *no credit for any "Your Choice" Reading Quiz questions*. So, you should only answer questions about readings that you actually did read!

42.	(1pt) Write the letter of the question you are choosing to answer: Write the answer to that question:	
43.	(1pt) Write the letter of the question you are choosing to answer: Write the answer to that question:	
44.	(1pt) Write the letter of the question you are choosing to answer: Write the answer to that question:	
45.	(1pt) Write the letter of the question you are choosing to answer: Write the answer to that question:	
46.	(1pt) Write the letter of the question you are choosing to answer: Write the answer to that question:	

Document A:

Fix unexpected sink side unsubscribe behavior #562 Merged Andyz26 merged 3 commits into master from andyz/fixSinkUnsubError 🖓 3 days ago ₽ Conversation 16 -O- Commits 3 E Checks 5 Files changed 7 Andyz26 commented last week Collaborator Context There is a race condition on the subscription handler where the current state can be empty if the subscription is established before the handler service is started, which causes non-perpetual jobs to silently fail at startup. • Added handling + logs to this case. • Added extra test in integration test. • Removed sinkSubscriptionHandlerFactory on TaskExecutor level (not used anymore). Checklist ./gradlew build compiles code correctly Added new tests where applicable ./gradlew test passes all tests Extended README or added javadocs where applicable \odot github-actions (bot) commented last week • edited - \square **Test Results** 545 tests +1 537 🗸 +1 6m 46s 🖄 - 1m 8s 8 _zz^z ±0 128 suites ±0 128 files ±0 0 🗙 ±0 Results for commit 7210270 . ± Comparison against base commit 49cd280 . ▶ This pull request removes 1 and adds 2 tests. Note that renamed tests count towards both. This comment has been updated with latest results. \odot liumI07 approved these changes last week View reviewed changes liumI07 left a comment Contributor ···· LGTM but a second review is appreciated. I have limited context. \odot





Document B:

```
on Foo.java:108:
```

 $[warning] \ findbugs: NP_NULL_ON_SOME_PATH_FROM_RETURN_VALUE$

Style - Possible null pointer dereference due to return value of called method

The return value from a method is dereferenced without a null check, and the return value of that method is one that should generally be checked for null. This may lead to a NullPointerException when the code is executed.

Document C:

Foo.java:

101	<pre>protected String getFileName(Path path) {</pre>
102	if (path == null) {
103	<pre>throw new IllegalArgumentException("null path");</pre>
104	}
105	<pre>if (path.getFileName() == null) {</pre>
106	<pre>throw new FileNotFoundException(path.toString());</pre>
107	} else {
108	<pre>return path.getFileName().toString();</pre>
109	}
110	}